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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031.

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/827,989	
	Filing Date	April 4, 2001	
	First Named Inventor	Li-Wen Chen, et al.	
	Group Art Unit	2172	
	Examiner Name	Fred I. Enchloya	
Total Number of Pages in This Submission	28	Attorney Docket Number	52719.00017

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> With RCE <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (in duplicate) <input type="checkbox"/> _____ Reference(s) <input type="checkbox"/> IDS and Form 1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Assignment and Recordation Cover Sheet (for an Application) <input type="checkbox"/> Drawing(s) _____ Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Request for Continued Examination <input checked="" type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> Request to Correct Filing Receipt <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Return Postcard <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Credit Card Payment Form; power to inspect
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paul A. Durdik, Reg. No. 37,819
Signature	
Date	November 6, 2003

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I hereby certify that this correspondence is being hand delivered to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 6, 2003	
Typed or printed name	Annette Masciello
Signature	
Date	11/6/03

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Approved / 1 through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to ANNUAL REVISION.		Complete if Known	
		Application Number	09/827,989
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	April 4, 2001
TOTAL AMOUNT OF PAYMENT (\$) 210		First Named Inventor	Li-Wen Chen, et al.
		Examiner Name	Fred I. Enchiloyes
		Group / Art Unit	2172
		Attorney Docket No.	82719.00017

METHOD OF PAYMENT (check all that apply) <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number: Deposit Account Name: The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified credit card account.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>1051</td> <td>130</td> <td>Surcharge - 130 filing fee or oath</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1052</td> <td>30</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1812</td> <td>2,430</td> <td>For filing a request for reconsideration</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1251</td> <td>110</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1252</td> <td>410</td> <td>Extension for reply within second month</td> <td>210</td> </tr> <tr> <td></td> <td></td> <td>1253</td> <td>830</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1254</td> <td>1,450</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1255</td> <td>1,370</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1401</td> <td>320</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1402</td> <td>320</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1403</td> <td>280</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1451</td> <td>1,310</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td></td> <td></td> <td>1452</td> <td>110</td> <td>Petition to revive - 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SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Paul A. Duda	Registration No. Attorney/Agent	37,519
Signature		Telephone	(650) 842-0300
		Date	November 8, 2003

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PTO/SB/97 (08-03)

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Enclosed is a copy of the office action response papers filed in application 09/827,969 that we filed with the USPTO on Thursday, **November 6, 2003**, including:

1. A transmittal (1 page) with USPTO date stamp dated November 6, 2003.
2. A fee transmittals (2 pages)
3. An Amendment and Response (19 pages) with Certificate of mailing dated November 6, 2003.
4. A Petition for extension of time (2 pages)
5. A Credit Card Payment form (1 page)
6. A power of attorney (2 pages)
7. A power to inspect and make copies in the instant case. (1 page)
8. A copy of a postcard indicating items 1-7 were filed in the USPTO date stamped November 6, 2003 (1 page).

process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Receipt of filing the following documents for:
Application S. N. 09/827,969, Filed: 4/4/01
Li-Wen Chen, et al: Attys DOC: 52719.00017
The following Items are being filed:

1. Transmittal (1 page)
2. Fee Transmittals (2 pages)
3. Amendment & Response (19 pages)
4. Petition for Extension Time (2 pages)
5. Credit Card Payment form (1 page)
6. Power of Attorney (2 pages)
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